

Promotional and Community Event Grant Application

McKinney Community Development Corporation FY 2025

Important Information

- Please read the McKinney Community Development Corporation [Grant Guidelines](#) before completing this application.
- The Grant Guidelines are available at [McKinneyCDC.org](#) or by emailing Info@McKinneyCDC.org.
- Applications must be completed in full, using this online form and submitted by 5 p.m. on the application deadline indicated on the [Grants page](#) of the McKinney CDC website.
- If you are interested in a preliminary review of your grant request or event idea, please [complete and submit the online Letter of Inquiry](#).

| | |
|---------------------------|---|
| Company Name | SBG Hospitality |
| Federal Tax I.D. | 81-5195550 |
| Incorporation Date | Wednesday, February 1, 2017 |
| Mailing Address | 7200 W University Drive, STE 210 McKinney, TX, 75071 |
| Phone Number | (318) 527-9221 |
| Email | hello@sbghospitality.com |
| Website | www.sbghospitality.com |
| Social Media | https://www.facebook.com/Mckinneysipandstroll, @sbghospitality |

Please provide a detailed narrative about your organization including years established, mission, goals, scope of services, staff, successes, contribution to community, etc.

SBG Hospitality was founded in 2017 by Lauren Stephan with the vision of creating exceptional local events and festivals to connect local businesses with community residents and support local non-profits. Over the years, we have grown into a full-scale event production company, organizing large-scale food and wine festivals and partnering with esteemed organizations such as the Academy of Country Music for their kickoff events. Our portfolio also includes ongoing arts and entertainment programming for developments like District 121, local community Sip & Stroll events, vendor markets in collaboration with TUPPS Brewery, and community grand openings with various developers.

While our primary focus is in Collin County, we have expanded to host events in the Houston market. Our dedicated team of seven event professionals was honored with the McKinney Tourism Partner of the Year award in 2023. Almost every event we organize aims to give back to local non-profits, and since our founding, we have contributed over \$177,000 to these organizations. We continue to enrich our local communities by creating enjoyable and affordable experiences that promote local charities and boost tourism in McKinney.

Select One

For-Profit Corporation

Noteworthy recognitions or awards in the last two years.

2023 McKinney Tourism Partner of the Year

Representative Completing Application Ainess Eatmon Gonzalez Vice President of Operations

Mailing Address 7200 W University Drive, STE 210
McKinney, TX, 75071

Phone Number (972) 532-1751

Email events@sbghospitality.com

Contact for Communications Between MCD and Organization Ainess Eatmon Gonzalez Vice President of Operations

Address 7200 W University Drive, STE 210
McKinney, TX, 75071

Phone Number (972) 532-1751

Email events@sbghospitality.com

Funding - Total Amount Requested \$15,000

Are matching funds available?

Will funding be requested from any other City of McKinney entity (e.g. Visit McKinney, Arts Commission, City of McKinney Community Support Grant)?

Have you received or will funding be requested from other organizations / foundations?

Has a request for funding for this Promotional / Community Event been submitted to MCD in the past five years?

Please list. 2021, 2022, 2023, 2024

Leadership Staff

Lauren Stephan (President), Andrew Stephan (Vice President), Ainess Eatmon Gonzalez (Vice President of Operations)

Information provided for promotional / community event for which you are seeking funding.

Date(s) of Event 03/15/25, 06/14/25, 7/12/25, 10/25/25

Location(s) Various Merchant Shops around Historic Downtown McKinney

Event(s) open to the public?

Yes

Ticket Prices

Tickets for the St. Patrick's Day Beer Walk, Craft Beer Walk, and Spooktacular Brews & Boos will be priced at \$35. The cost for tickets to the Margarita Stroll will start at \$35.

Describe the target audience for the event(s).

Our target audience consists of individuals in their late 20s to mid-40s. We focus on singles and couples who are meeting up for engaging activities that include shopping and experiencing the charm of Historic Downtown McKinney, followed by enjoying a meal at one of the local eateries.

Is this the first for this event?

No

If not, what is the history for the event (annual / biannual since what year)?

The event has been held every year since 2021 for the same strolls.

How does event showcase McKinney for tourism and/or business development?

The Sip + Stroll Series attracts thousands of customers to local businesses in Historic Downtown McKinney. Attendees enjoy shopping at various merchant shops while tasting beverages, with many choosing to have lunch before participating or staying for dinner afterward.

Expected attendance. 5,500

Expected number or percentage of attendees coming from outside of McKinney. nearly 80%

Does the event support a non-profit (other than applicant)? Yes

If yes, what organization(s) are supported? Hugs Cafe, McKinney Main Street, Traffick911

What percentage of revenue will be donated (indicate gross or net)? In 2024, we donated a total of \$10,613.08.

Financial Goals of Promotional / Community Event

Gross Revenue \$184,900

Projected Expenses \$81,140.98

Net Revenue \$103,759.02

Provide a detailed narrative of the event(s) including mission, goals, activities, schedule, production timeline, goals for growth / expansion, etc.

The Sip + Stroll series attracts both local residents and visitors to Downtown McKinney, bringing them into the shops to engage with local merchants, spend money, and enjoy delicious beverages while taking in the sights of beautiful Downtown McKinney. These walks not only boost sales and foot traffic for downtown merchants but also consistently promote Downtown McKinney, keeping it top of mind for Collin County shoppers. The events attract McKinney residents and draw many visitors to the area. Our growth and expansion goals include reaching the same capacity for each walk as the popular Margarita Stroll.

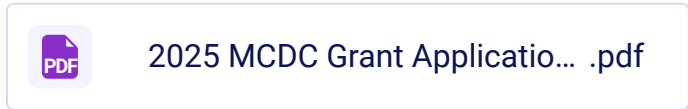
Provide a detailed marketing plan and budget for the event(s).

Over half of our budget will be allocated to Facebook advertising, using both our ad accounts and those of outside digital media companies. Additionally, we will employ traditional print advertising through publications such as Community Impact. We will continue to leverage mass text messaging campaigns using our database and create ads and marketing materials via Upwork. Flyers will be produced and distributed at local businesses around McKinney and to those participating in the Sip & Stroll events. Furthermore, we will utilize our PR contacts to promote events on local event calendars.

Plan should include promotional channels (print ads including publication names, social media, radio, posters, flyers, yard signs, etc.)

| Promotional Channel | Budget |
|------------------------------------|------------|
| Social Media Campaign (Facebook) | \$10,000 |
| PR Assistance (Premier) | \$2,472 |
| Event Flyers | \$392 |
| Creative Assistance (Upwork) | \$600 |
| Mass Text Messaging | \$4000 |
| Quickdraw T-shirts | \$1909 |
| Print Ads (The Neighborhood Reach) | \$1,148.45 |

Event Marketing Plan and Budget Attachment



Total Promotional Budget \$20,521.45

What percentage of the total marketing budget does the grant represent? 73%

Attendance from previous event(s), if applicable 1) 4,233 2) 3,670

Marketing lessons learned from past (what worked and what did not).

Social media platforms such as Facebook and Instagram remain highly effective for reaching our target audiences. Additionally, we continue to see success with our phone number and email campaigns. Currently, we have a robust database with over 38,000 local (Collin County) email addresses and 20,000 mobile numbers. Moving forward, we plan to reduce our budget for local ads like those in Community Impact.

How will you measure success of your event and marketing campaign? (attendance, website hits, social media indicators, etc.)

The success of the event will be measured by the number of tickets sold. Additionally, we will track the number of impressions generated through social media campaigns, as well as monitor open and click rates on emails and text messages, and web traffic.

Please include examples of past marketing efforts (screen shots of ads, posters, social posts, radio text, etc.)

www.mckinneysipandstroll.com, <https://www.facebook.com/Mckinneysipandstroll>

Additional details related to marketing efforts.

www.mckinneysipandstroll.com, <https://www.facebook.com/Mckinneysipandstroll>

Budget

 MCDC Grant - 2025 McKinne... .xlsx

What percentage of Project / Promotional / Community Event funding will be provided by the applicant? 100

Are matching funds available?

What dollar amount and percentage of Promotional / Community Event funding will be provided by other sources such as sponsorship, registration fees, individual or corporate donations, etc.?

| | |
|--------------------------------------|--------------|
| Sponsorship Revenue | \$21,100 |
| Registration Fees | \$148,050 |
| Other (raffle, auction, etc.) | \$15,750 |
| Net Revenue | \$103,759.02 |

Metrics to Evaluate Success: Outline the metrics that will be used to evaluate success of the proposed Promotional / Community Event. If funding is awarded, a final report will be required summarizing success in achieving objectives outlined for the event.

We will use several metrics to evaluate the success of the event, including the number of tickets sold and feedback from sponsors, vendors, and retail merchants on their experience and success during the event. Additionally, we will assess our advertising and marketing efforts by monitoring open and click rates, impressions generated, and the audience reached through social media campaigns. We will also analyze the zip codes of attendees and other information collected from them to gain further insights.

- Provide an overview of the organization's financial status, including the impact of this grant request on the organization's mission and goals.
- Please attach your budget for the current year and audited financial statements for the preceding two years. If audited financials are not available, please indicate why.

Budget

 MCDC Grant - 2025 McKinne... .xlsx

Financial Statements



2024 TX Franchise Tax Report.pdf

W9



2024 SBG Hospitality Form W9.pdf

Completed applications that are eligible for consideration by MCDC will be presented to the board according to the schedule on the Grants page of this website. Presentations will be limited to five (5) minutes followed by time for Board questions.

Please be prepared to provide the information outlined below in your presentation:

- Summary of organization and goals
- Summary of event(s) to include dates, location, ticket prices, target audience, how your event will showcase McKinney, estimated attendance from within and outside of McKinney (and past attendance, if applicable), event features / activities, how event supports your organization's mission, and non-profit beneficiary, if applicable.
- Specific marketing plans for event(s) including promotional channels to be utilized (print, radio, social media, posters, etc.) and budget for each. Please share the percentage of the total marketing budget that this grant application represents.

If funding is approved by the MCDC board of directors, Applicant assures:

- The Promotional / Community Event for which financial assistance is sought will be administered by or under the supervision of the applying organization;
- All funds awarded will be used exclusively for advertising, marketing and promotion of the Promotional / Community event described in this application.
- MCDC will be recognized in all marketing, advertising, outreach and public relations as a funder of the Promotional / Community Event. A logo will be provided by MCDC for inclusion on all advertising, marketing and promotional materials. Specifics for audio messaging will be agreed upon by applicant and MCDC and included in an executed performance agreement.
- The organization's officials who have signed the application are authorized by the organization to submit the application.
- Applicant will comply with the MCDC Grant Guidelines in executing the Promotional / Community Event for which funds were received.
- Applicant gives permission for the use of Board presentation images on MCDC website and social media content.
- A final report detailing the success of the Promotional / Community Event, as measured against identified metrics, will be provided to MCDC no later than 30 days following the completion of the Promotional / Community Event.
- Grant funding is provided on a reimbursement basis subsequent to the submission of a reimbursement request, with copies of invoices and paid receipts for qualified expenses. Up to 20% of the grant awarded may be withheld until the **final report on the Promotional/Community Event is provided to MCDC.**

Applicant Electronic Signature

We certify that all figures, facts, and representations made in this application, including attachments, are true and correct to the best of our knowledge.

Selecting this option indicates your agreement with the above statement.

Chief Executive Officer



Date

Wednesday, November 27, 2024

Representative Completing Application



Date

Wednesday, November 27, 2024

Notes

- Incomplete applications or those received after the deadline will not be considered.
- A final report must be provided to MCDC within 30 days of the event / completion of the Promotional / Community Event.
- Final payment of funding awarded will be made upon receipt of final report.
- Please use the Final Report to report your results. A PDF version is also available.

| McKinney St. Patrick's Day Beer Walk Expenses | | |
|--|--------------------------|--------------------|
| Business | Product/Service | Cost |
| UHaul & Gas | Truck Rental | \$291.87 |
| Trinity Event Staffing | Bartenders | \$5,185.00 |
| Best Ice To Go | Ice | \$841.91 |
| Ashley Gongora | Photographer | \$250.00 |
| Labor Team | Labor | \$3,418.75 |
| Party Express | Beads | \$243.85 |
| Tossware | Taster Cups | \$907.52 |
| Photobooth | Photobooth | \$650.00 |
| Quickdraw T-shirts | T-shirts | \$788.50 |
| Amazon | Wristbands | \$50.00 |
| Hugs Cafe | Lunch | \$167.72 |
| Amazon | Misc. Supplies | \$100.00 |
| Lowe's | Paper Towels | \$126.00 |
| Uline | Trash Cans | \$193.05 |
| Bargain Basement Printing | Flyers | \$98.96 |
| Advertising | Facebook, Text Messaging | \$4,118.00 |
| Upwork | Graphic Design | \$235.00 |
| The Neighborhood Reach | Signage | \$195.85 |
| David Ochoa | Videographer | \$1,500.00 |
| Hugs Cafe | Donation | \$1,500.00 |
| | Total | \$20,861.98 |

| McKinney Craft Beer Walk Expenses | | |
|--|--------------------------|--------------------|
| Business | Product/Service | Cost |
| UHaul & Gas | Truck Rental | \$172.80 |
| Trinity Event Staffing | Bartenders | \$3,646.50 |
| Best Ice To Go | Ice | \$1,321.97 |
| Jettpack Creative | Photographer | \$250.00 |
| Labor Team | Labor | \$2,950.00 |
| Tossware | Taster Cups | \$907.52 |
| Photobooth | Photobooth | \$650.00 |
| Quickdraw T-shirts | T-shirts | \$373.50 |
| Amazon | Wristbands | \$50.00 |
| Cadillac Pizza | Lunch | \$259.80 |
| Amazon | Misc. Supplies | \$100.00 |
| Uline | Trash Cans | \$193.05 |
| Bargain Basement Printing | Flyers | \$98.96 |
| Advertising | Facebook, Text Messaging | \$4,118.00 |
| Upwork | Graphic Design | \$50.00 |
| The Neighborhood Reach | Signage | \$194.85 |
| Traffick911 | Donation | \$1,500.00 |
| | Total | \$16,836.95 |

| McKinney Margarita Stroll Expenses | | |
|---|--------------------------|--------------------|
| Business | Product/Service | Cost |
| UHaul & Gas | Truck Rental | \$289.27 |
| Trinity Event Staffing | Bartenders | \$6,585.50 |
| Best Ice To Go | Ice | \$1,822.18 |
| Jetpack Creative | Photographer | \$270.00 |
| Labor Team | Labor | \$3,876.00 |
| Ben E. Keith | Mixes/Garnishes | \$1,298.41 |
| Specs | Tequila/Triple Sec | \$1,384.56 |
| Tossware | Taster Cups | \$1,971.88 |
| Walmart & Lowe's | Misc Supplies | \$127.58 |
| Photobooth | Photobooth | \$650.00 |
| Quickdraw T-shirts | T-shirts | \$373.50 |
| Loyo Burger | Friday Team Lunch | \$235.12 |
| Cadillac Pizza | Saturday Team Lunch | \$262.99 |
| Amazon | Tablecloths/Wristbands | \$54.65 |
| Amazon | Props and Decor | \$487.64 |
| Uline | Trash Cans | \$193.05 |
| Bargain Basement Printing | Flyers | \$98.96 |
| Advertising & Marketing | Facebook, Text Messaging | \$4,387.00 |
| Upwork | Graphic Design | \$125.00 |
| The Neighborhood Reach | Signage | \$330.16 |
| Traffick911 | Donation | \$1,500.00 |
| | Total | \$26,323.45 |

| McKinney Spooktacular Brews + Boos Expenses | | |
|--|--------------------------|--------------------|
| Business | Product/Service | Cost |
| UHaul & Gas | Truck Rental | \$203.63 |
| Trinity Event Staffing | Bartenders | \$4,752.00 |
| Best Ice To Go | Ice | \$655.30 |
| Ashley Gongora | Photographer | \$300.00 |
| Labor Team | Labor | \$2,752.50 |
| Tossware | Taster Cups | \$628.88 |
| Photobooth | Photobooth | \$650.00 |
| Quickdraw T-shirts | T-shirts | \$373.50 |
| Amazon | Wristbands & Decor | \$56.63 |
| Cadillac Pizza | Lunch | \$188.45 |
| Amazon | Misc. Supplies | \$100.00 |
| Lowe's | Paper Towels | \$126.00 |
| Uline | Trash Cans | \$270.00 |
| Bargain Basement Printing | Flyers | \$98.96 |
| Advertising & Marketing | Facebook, Text Messaging | \$3,985.16 |
| Upwork | Graphic Design | \$50.00 |
| The Neighborhood Reach | Signage | \$427.59 |
| Traffick911 | Donation | \$1,500.00 |
| | Total | \$17,118.60 |

MCKINNEY SP+STROLL SERIES 2025



SBG
Hospitality



SIP+STROLL IN DOWNTOWN MCKINNEY

McKinney residents and visitors are invited to Downtown McKinney for the 2025 Sip + Stroll Series, a delightful blend of good times and refreshing beverages!

These strolls offer a unique opportunity to explore downtown McKinney, step inside its charming shops, connect with local merchants, and savor delicious drinks—all while enjoying the picturesque views of this vibrant area.

Beyond providing a memorable experience, the walks significantly boost sales and foot traffic for downtown merchants, ensuring Downtown McKinney remains a top destination for Collin County shoppers. By attracting both locals and visitors, these events help keep McKinney thriving and top-of-mind for tourism.

To maintain a comfortable and enjoyable atmosphere, participation is capped at around 1,500 guests per walk, ensuring merchants are not overwhelmed and attendees enjoy shorter lines and a relaxed experience.

In addition to drawing visitors to McKinney, these events aim to enhance the quality of life for residents, showcase the city's unique appeal, and support local nonprofits. Attendees can also enjoy exciting extras like costume contests, photo booths, scavenger hunts, and fun swag, making the Sip + Stroll Series a truly unforgettable experience!



AN IMPACTFUL MCKINNEY EVENT SERIES!

Each Sip + Stroll event contributes 20% of its net proceeds to McKinney Main Street and donates an additional \$1,500 per event to a local McKinney nonprofit. While McKinney Main Street is a partner for every walk, we also collaborate with a different local nonprofit for each event, amplifying the community impact. In 2024, the Sip + Stroll Series proudly donated over \$10,000 to McKinney nonprofits!

We measure the event's success through attendance. Another key metric is feedback from local merchants—we strive to hear that each stroll boosted their sales and brought a great day of business to downtown McKinney!





THE EXPERIENCE...FUN IN MCKINNEY!!

Attendees of the Sip + Stroll events enjoy a signature event cup, an event map to guide their tasting adventure through downtown McKinney, and 20-25 delicious beverage tastings!

Each stroll features unique activities and fun swag—such as Irish music, photo booths, and costume contests—making every event a memorable experience. Tickets for the strolls start at \$35 per stroll.

The 2025 walk themes are:

- March 15: McKinney St. Patrick's Day Beer Walk
- June 14: McKinney Craft Beer Walk
- July 12: McKinney Margarita Stroll
- October 25: McKinney Spooktacular Brews & Boos Walk





EXPENSES AND REVENUE

Our goal is to sell 1,000-1,500 tickets per event at \$35 each, generating \$35,000-\$52,500 in revenue per walk.



The median cost to host each event ranges from \$20,000-\$22,000, excluding staff wages for organizing the events. While some walks may cost slightly less and others more, a detailed example expense sheet has been provided for reference. After covering hard costs, 20% of the net proceeds will be donated to McKinney Main Street, with an additional \$1,500 given to the nonprofit partner for each event, regardless of attendance.



To help offset expenses and ensure the sustainability of the series, we aim to secure \$5,000 in sponsorships per walk through opportunities like presenting sponsorships and photo booth branding. These contributions will help support the continuation of this beloved community tradition for years to come!



HOW THE GRANT WILL BE SPENT:

As this series includes four events, the full \$15,000 advertising grant is essential to effectively promote them and achieve our goals—especially with the rising costs we've experienced for our events. This grant truly makes the series possible!

We carefully evaluate the results of each event and adjust our marketing strategies to focus on the most effective channels. Below is an outline of our marketing plan and associated costs:



- Social Media Campaign: Paid ads and organic posting (\$2,500 per event x 4 = \$10,000)
- PR Assistance: Promoting events via online calendars and media outreach (\$618 per event x 4 = \$2,472)
- Event Flyers: (\$98 per event x 4 = \$392)
- Creative Assistance: Ads and materials via Upwork and The Neighborhood Reach (\$150 per event x 4 = \$600)
- Mass Text Messaging: Targeting past event attendees (\$1,000 per event x 4 = \$4,000)



We are incredibly grateful for MCDC's support. This \$15,000 grant would be instrumental to the success of the series and help us continue creating impactful events in Downtown McKinney. Thank you for considering our request—we deeply value our partnership with MCDC!

Sincerely,
SBG Hospitality

Texas Franchise Tax Return Summary

For privilege period covering **01/01/24** through **12/31/24**
SBG HOSPITALITY LLC **32062757284**

Taxable Income

| | | |
|---------------------------------|-----------------------------|------------------------------------|
| Total Revenue | 2,182,781 | |
| Margin subject to apportionment | | |
| Apportionment factor | <u>1.0000</u> | |
| Margin apportioned to TX | | |
| Allowable deductions | <u> </u> | |
| Taxable margin | | <u><u> </u></u> |

Tax Computation

| | | |
|----------------------------|-----------------------------|------------------------------------|
| Taxable margin | | |
| Tax rate | <u> </u> | |
| Tax due before adjustments | | |
| Tax credits | <u> </u> | |
| Tax due before discount | | |
| Discount | <u> </u> | |
| Total tax due | | <u><u> </u></u> |

Payments/Penalties

| | | |
|---------------------------------|-----------------------------|------------------------------------|
| Prior payment | | |
| Penalty | | |
| Interest | <u> </u> | |
| Total payments/penalties | | <u><u> </u></u> |

Total tax due and payable

Refund

CLIENT COPY

TX 2024 05-102
Ver. 15.0 (Rev.9-23/34)

Texas Franchise Tax Public Information Report

To be filed by Corporations, Limited Liability Companies (LLC), Limited Partnerships (LP), Professional Associations (PA) and Financial Institutions

■ Tcode 13196 Franchise

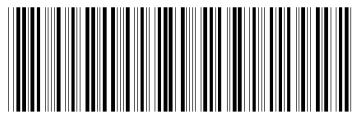
You have certain rights under Chapter 552 and 559, Government Code, to review, request and correct information we have on file about you. Contact us at 800-252-1381.

| | | |
|---|------------------------------|-------------------------------|
| ■ Taxpayer number 32062757284 | ■ Report year 2024 | Due date 11/15/2024 |
|---|------------------------------|-------------------------------|

| | | | |
|---|--------------------|--|--|
| Taxpayer name SBG HOSPITALITY LLC | | <input type="checkbox"/> Check box if the mailing address has changed. | |
| Mailing address 7200 W UNIVERSITY SUITE 210 | | | Secretary of State (SOS) file number or Comptroller file number 0802639642 |
| City MCKINNEY | State TX | ZIP code plus 4 75071-7202 | |

Check box if there are currently no changes from previous year; if no information is displayed, complete the applicable information in Sections A, B and C.

| |
|--|
| Principal office 7200 W UNIVERSITY SUITE 210 MCKINNEY TX 75071-7202 |
| Principal place of business 7200 W UNIVERSITY SUITE 210 MCKINNEY TX 75071-7202 |



You must report officer, director, member, general partner and manager information as of the date you complete this report.

Please sign below! This report must be signed to satisfy franchise tax requirements. **3206275728424**

SECTION A Name, title and mailing address of each officer, director, member, general partner or manager.

| Name | Title | Director | Term expiration | m | m | d | d | y | y |
|---|-------------------------|---|--------------------------|---|---|---|---|---|---|
| LAUREN STEPHAN | MANAGING MEMBER | <input checked="" type="checkbox"/> YES | | | | | | | |
| Mailing address 2509 TREMONT BLVD | City MCKINNEY | State TX | ZIP Code 75071 | | | | | | |
| ANDREW STEPHAN | | <input type="checkbox"/> YES | | | | | | | |
| Mailing address 2509 TREMONT BLVD | City MCKINNEY | State TX | ZIP Code 75071 | | | | | | |
| Name | Title | Director | Term expiration | m | m | d | d | y | y |
| | | <input type="checkbox"/> YES | | | | | | | |
| Mailing address | City | State | ZIP Code | | | | | | |

SECTION B Enter information for each corporation, LLC, LP, PA or financial institution, if any, in which this entity owns an interest of 10 percent or more.

| | | | |
|---|--------------------|-------------------------------|-------------------------|
| Name of owned (subsidiary) corporation, LLC, LP, PA or financial institution NONE | State of formation | Texas SOS file number, if any | Percentage of ownership |
| Name of owned (subsidiary) corporation, LLC, LP, PA or financial institution | State of formation | Texas SOS file number, if any | Percentage of ownership |

SECTION C Enter information for each corporation, LLC, LP, PA or financial institution, if any, that owns an interest of 10 percent or more in this entity.

| | | | |
|---|--------------------|-------------------------------|-------------------------|
| Name of owned (parent) corporation, LLC, LP, PA or financial institution NONE | State of formation | Texas SOS file number, if any | Percentage of ownership |
|---|--------------------|-------------------------------|-------------------------|

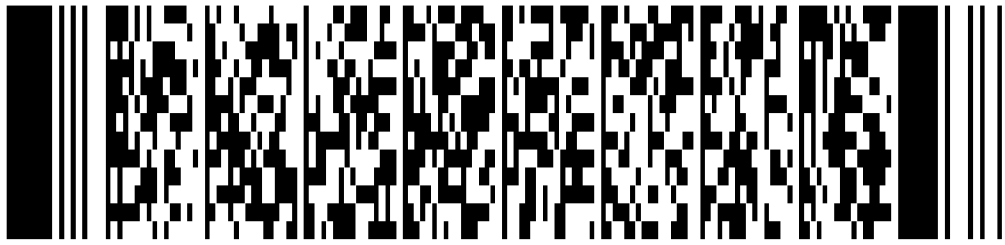
| | | | |
|---|-----------------------|--|------------------------|
| Registered agent and registered office currently on file (see instructions if you need to make changes) | | You must make a filing with the Secretary of State to change registered agent, registered office or general partner information. | |
| Agent: LAUREN STEPHAN | | | |
| Office: 2509 TREMONT BOULEVARD | City: MCKINNEY | State: TX | ZIP Code: 75071 |

The information on this form is required by Section 171.203 of the Tax Code for each corporation, LLC, LP, PA or financial institution that files a Texas Franchise Tax Report. Use additional sheets for Sections A, B and C, if necessary. The information will be available for public inspection.

I declare that the information in this document and any attachments is true and correct to the best of my knowledge and belief, as of the date below, and that a copy of this report has been mailed to each person named in this report who is an officer, director, member, general partner or manager and who is not currently employed by this or a related corporation, LLC, LP, PA or financial institution.

| | | | |
|--------------------|---------------------------------|---------------------------|---|
| sign here ▶ | Title MANAGING MEMBER | Date 05/28/2024 | Area code and phone number (318) 527-9221 |
|--------------------|---------------------------------|---------------------------|---|

Texas Comptroller Official Use Only



| | |
|--------------------------------|----------------------------------|
| VE/DE <input type="checkbox"/> | PIR IND <input type="checkbox"/> |
| PM Date | |



Texas Franchise Tax Public Information Report

To be filed by Corporations, Limited Liability Companies (LLC), Limited Partnerships (LP), Professional Associations (PA) and Financial Institutions

■ Tcode 13196

| | | | | | |
|---|--------------------|--|--|---|--|
| <input type="checkbox"/> Taxpayer number ***** | | <input type="checkbox"/> Report year 2023 | | You have certain rights under Chapter 552 and 559, Government Code, to review, request and correct information we have on file about you. Contact us at 1-800-252-1381. | |
| Taxpayer name SBG HOSPITALITY LLC | | | | <input type="checkbox"/> Check box if the mailing address has changed. | |
| Mailing address 7200 W UNIVERSITY SUITE 210 | | | | Secretary of State (SOS) file number or Comptroller file number 0802639642 | |
| City MCKINNEY | State TX | ZIP code plus 4 75071-7202 | | | |

Check box if there are currently no changes from previous year; if no information is displayed, complete the applicable information in Sections A, B and C.

| |
|--|
| Principal office 7200 W UNIVERSITY SUITE 210 MCKINNEY TX 75071-7202 |
| Principal place of business 7200 W UNIVERSITY SUITE 210 MCKINNEY TX 75071-7202 |

You must report officer, director, member, general partner and manager information as of the date you complete this report.



Please sign below! This report must be signed to satisfy franchise tax requirements.

3206275728423

SECTION A Name, title and mailing address of each officer, director, member, general partner or manager.

| Name | Title | Director | Term expiration |
|--------------------------------------|------------------|---|-------------------|
| LAUREN STEPHAN | MANAGING MEMBER | <input checked="" type="checkbox"/> YES | m m d d y y |
| Mailing address 2509 TREMONT BLVD | City MCKINNEY | State TX | ZIP Code 75071 |
| ANDREW STEPHAN | | <input type="checkbox"/> YES | m m d d y y |
| Mailing address 2509 TREMONT BLVD | City MCKINNEY | State TX | ZIP Code 75071 |
| Name | Title | Director | Term expiration |
| | | <input type="checkbox"/> YES | m m d d y y |
| Mailing address | City | State | ZIP Code |

SECTION B Enter information for each corporation, LLC, LP, PA or financial institution, if any, in which this entity owns an interest of 10 percent or more.

| Name of owned (subsidiary) corporation, LLC, LP, PA or financial institution | State of formation | Texas SOS file number, if any | Percentage of ownership |
|--|--------------------|-------------------------------|-------------------------|
| NONE | | | |
| Name of owned (subsidiary) corporation, LLC, LP, PA or financial institution | State of formation | Texas SOS file number, if any | Percentage of ownership |

SECTION C Enter information for each corporation, LLC, LP, PA or financial institution, if any, that owns an interest of 10 percent or more in this entity.

| Name of owned (parent) corporation, LLC, LP, PA or financial institution | State of formation | Texas SOS file number, if any | Percentage of ownership |
|--|--------------------|-------------------------------|-------------------------|
| NONE | | | |

| | | | |
|---|-----------------------|--|------------------------|
| Registered agent and registered office currently on file (see instructions if you need to make changes) | | You must make a filing with the Secretary of State to change registered agent, registered office or general partner information. | |
| Agent: LAUREN STEPHAN | | | |
| Office: 2509 TREMONT BOULEVARD | City: MCKINNEY | State: TX | ZIP Code: 75071 |

The information on this form is required by Section 171.203 of the Tax Code for each corporation, LLC, LP, PA or financial institution that files a Texas Franchise Tax Report. Use additional sheets for Sections A, B and C, if necessary. The information will be available for public inspection.

I declare that the information in this document and any attachments is true and correct to the best of my knowledge and belief, as of the date below, and that a copy of this report has been mailed to each person named in this report who is an officer, director, member, general partner or manager and who is not currently employed by this or a related corporation, LLC, LP, PA or financial institution.

| | | | |
|---|---------------------------------|---------------------------|---|
| sign here <input checked="" type="checkbox"/> | Title MANAGING MEMBER | Date 04/03/2023 | Area code and phone number 318-527-9221 |
|---|---------------------------------|---------------------------|---|

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| | | | |
|-------|--------------------------|---------|--------------------------|
| VE/DE | <input type="checkbox"/> | PIR IND | <input type="checkbox"/> |
|-------|--------------------------|---------|--------------------------|

Texas Franchise Tax Report - Page 1

Tcode 13250 ANNUAL

| | | | |
|--|-------------|--|--|
| Taxpayer number ***** | | Report year 2023 | Due date 05/15/2023 |
| Taxpayer name SBG HOSPITALITY LLC | | | Secretary of State file number or Comptroller file number 0802639642 |
| Mailing address 7200 W UNIVERSITY SUITE 210 | | | |
| City MCKINNEY | State TX | Country UNITED STATES | ZIP code plus 4 75071-7202 |
| Check box if this is a combined report <input type="checkbox"/> | | Check box if Total Revenue is adjusted for Tiered Partnership Election, see instructions <input type="checkbox"/> | |
| Is this entity a corporation, limited liability company, professional association, limited partnership or financial institution? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |

** If not twelve months, see instructions for annualized revenue

| | | | |
|---------------------------------|-----------------------------|----------|------------|
| Accounting year begin date** | Accounting year end date | SIC code | NAICS code |
| 010122 | 123122 | | 711300 |

REVENUE (Whole dollars only)

| | | |
|---|-----|------------|
| 1. Gross receipts or sales | 1. | 1525261.00 |
| 2. Dividends | 2. | 0.00 |
| 3. Interest | 3. | 0.00 |
| 4. Rents (can be negative amount) | 4. | 0.00 |
| 5. Royalties | 5. | 0.00 |
| 6. Gains/losses (can be negative amount) | 6. | 0.00 |
| 7. Other income (can be negative amount) | 7. | 2620.00 |
| 8. Total gross revenue (Add items 1 thru 7) | 8. | 1527881.00 |
| 9. Exclusions from gross revenue (see instructions) (item 8 minus item 9 if less than zero, enter 0) | 9. | 0.00 |
| 10. TOTAL REVENUE | 10. | 1527881.00 |

COST OF GOODS SOLD (Whole dollars only)

| | | |
|--|-----|------|
| 11. Cost of goods sold | 11. | 0.00 |
| 12. Indirect or administrative overhead costs (Limited to 4%) | 12. | 0.00 |
| 13. Other (see instructions) | 13. | 0.00 |
| 14. TOTAL COST OF GOODS SOLD (Add items 11 thru 13) | 14. | 0.00 |

COMPENSATION (Whole dollars only)

| | | |
|---|-----|-----------|
| 15. Wages and cash compensation | 15. | 496800.00 |
| 16. Employee benefits | 16. | 2415.00 |
| 17. Other (see instructions) | 17. | 0.00 |
| 18. TOTAL COMPENSATION (Add items 15 thru 17) | 18. | 499215.00 |

Texas Comptroller Official Use Only



| | |
|---------|--------------------------|
| VE/DE | <input type="checkbox"/> |
| PM Date | |

**Request for Taxpayer
Identification Number and Certification**

Go to www.irs.gov/FormW9 for instructions and the latest information.

**Give form to the
requester. Do not
send to the IRS.**

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

| | | |
|--|--|---|
| Print or type. See Specific Instructions on page 3. | 1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.) SBG Hospitality LLC | |
| | 2 Business name/disregarded entity name, if different from above. | |
| | 3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C corporation <input type="checkbox"/> S corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input checked="" type="checkbox"/> LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) S Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) | |
| | 4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____ <i>(Applies to accounts maintained outside the United States.)</i> | |
| | 3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions <input type="checkbox"/> | |
| | 5 Address (number, street, and apt. or suite no.). See instructions. 7200 W University Drive, Suite 210 | Requester's name and address (optional) |
| | 6 City, state, and ZIP code Mckinney, TX 75071 | |
| 7 List account number(s) here (optional) | | |

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

| | | | | | | | | |
|--------------------------------|---|---|---|---|---|---|---|---|
| Social security number | | | | | | | | |
| | | | - | | | | | |
| or | | | | | | | | |
| Employer identification number | | | | | | | | |
| 8 | 1 | - | 5 | 1 | 9 | 5 | 5 | 0 |

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

| | | |
|-----------|--|---------------------|
| Sign Here | Signature of U.S. person <i>Lauren Stephan</i> | Date <i>4/22/24</i> |
|-----------|--|---------------------|

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they

must obtain your correct taxpayer identification number (TIN), which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid).
- Form 1099-DIV (dividends, including those from stocks or mutual funds).
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds).
- Form 1099-NEC (nonemployee compensation).
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers).
- Form 1099-S (proceeds from real estate transactions).
- Form 1099-K (merchant card and third-party network transactions).
- Form 1098 (home mortgage interest), 1098-E (student loan interest), and 1098-T (tuition).
- Form 1099-C (canceled debt).
- Form 1099-A (acquisition or abandonment of secured property).

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

Caution: If you don't return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued);
2. Certify that you are not subject to backup withholding; or
3. Claim exemption from backup withholding if you are a U.S. exempt payee; and
4. Certify to your non-foreign status for purposes of withholding under chapter 3 or 4 of the Code (if applicable); and
5. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting is correct. See *What Is FATCA Reporting*, later, for further information.

Note: If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding. Payments made to foreign persons, including certain distributions, allocations of income, or transfers of sales proceeds, may be subject to withholding under chapter 3 or chapter 4 of the Code (sections 1441–1474). Under those rules, if a Form W-9 or other certification of non-foreign status has not been received, a withholding agent, transferee, or partnership (payor) generally applies presumption rules that may require the payor to withhold applicable tax from the recipient, owner, transferor, or partner (payee). See Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*.

The following persons must provide Form W-9 to the payor for purposes of establishing its non-foreign status.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the disregarded entity.
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the grantor trust.
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust and not the beneficiaries of the trust.

See Pub. 515 for more information on providing a Form W-9 or a certification of non-foreign status to avoid withholding.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person (under Regulations section 1.1441-1(b)(2)(iv) or other applicable section for chapter 3 or 4 purposes), do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515). If you are a qualified foreign pension fund under Regulations section 1.897(l)-1(d), or a partnership that is wholly owned by qualified foreign pension funds, that is treated as a non-foreign person for purposes of section 1445 withholding, do not use Form W-9. Instead, use Form W-8EXP (or other certification of non-foreign status).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a saving clause. Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if their stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first Protocol) and is relying on this exception to claim an exemption from tax on their scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include, but are not limited to, interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third-party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester;
2. You do not certify your TIN when required (see the instructions for Part II for details);
3. The IRS tells the requester that you furnished an incorrect TIN;
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only); or
5. You do not certify to the requester that you are not subject to backup withholding, as described in item 4 under "*By signing the filled-out form*" above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier.

What Is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all U.S. account holders that are specified U.S. persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you are no longer tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

- **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note for ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040 you filed with your application.

- **Sole proprietor.** Enter your individual name as shown on your Form 1040 on line 1. Enter your business, trade, or "doing business as" (DBA) name on line 2.

- **Partnership, C corporation, S corporation, or LLC, other than a disregarded entity.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

- **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. Enter any business, trade, or DBA name on line 2.

- **Disregarded entity.** In general, a business entity that has a single owner, including an LLC, and is not a corporation, is disregarded as an entity separate from its owner (a disregarded entity). See Regulations section 301.7701-2(c)(2). A disregarded entity should check the appropriate box for the tax classification of its owner. Enter the owner's name on line 1. The name of the owner entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For

example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2. If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, enter it on line 2.

Line 3a

Check the appropriate box on line 3a for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3a.

| IF the entity/individual on line 1 is a(n) . . . | THEN check the box for . . . |
|--|--|
| • Corporation | Corporation. |
| • Individual or • Sole proprietorship | Individual/sole proprietor. |
| • LLC classified as a partnership for U.S. federal tax purposes or • LLC that has filed Form 8832 or 2553 electing to be taxed as a corporation | Limited liability company and enter the appropriate tax classification: P = Partnership, C = C corporation, or S = S corporation. |
| • Partnership | Partnership. |
| • Trust/estate | Trust/estate. |

Line 3b

Check this box if you are a partnership (including an LLC classified as a partnership for U.S. federal tax purposes), trust, or estate that has any foreign partners, owners, or beneficiaries, and you are providing this form to a partnership, trust, or estate, in which you have an ownership interest. You must check the box on line 3b if you receive a Form W-8 (or documentary evidence) from any partner, owner, or beneficiary establishing foreign status or if you receive a Form W-9 from any partner, owner, or beneficiary that has checked the box on line 3b.

Note: A partnership that provides a Form W-9 and checks box 3b may be required to complete Schedules K-2 and K-3 (Form 1065). For more information, see the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

If you are required to complete line 3b but fail to do so, you may not receive the information necessary to file a correct information return with the IRS or furnish a correct payee statement to your partners or beneficiaries. See, for example, sections 6698, 6722, and 6724 for penalties that may apply.

Line 4 Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third-party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space on line 4.

1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2).

- 2—The United States or any of its agencies or instrumentalities.
- 3—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities.
- 5—A corporation.
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or territory.
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission.
- 8—A real estate investment trust.
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940.
- 10—A common trust fund operated by a bank under section 584(a).
- 11—A financial institution as defined under section 581.
- 12—A middleman known in the investment community as a nominee or custodian.
- 13—A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

| IF the payment is for . . . | THEN the payment is exempt for . . . |
|--|---|
| • Interest and dividend payments | All exempt payees except for 7. |
| • Broker transactions | Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012. |
| • Barter exchange transactions and patronage dividends | Exempt payees 1 through 4. |
| • Payments over \$600 required to be reported and direct sales over \$5,000 ¹ | Generally, exempt payees 1 through 5. ² |
| • Payments made in settlement of payment card or third-party network transactions | Exempt payees 1 through 4. |

¹ See Form 1099-MISC, Miscellaneous Information, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) entered on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).

B—The United States or any of its agencies or instrumentalities.

C—A state, the District of Columbia, a U.S. commonwealth or territory, or any of their political subdivisions or instrumentalities.

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i).

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i).

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state.

G—A real estate investment trust.

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940.

I—A common trust fund as defined in section 584(a).

J—A bank as defined in section 581.

K—A broker.

L—A trust exempt from tax under section 664 or described in section 4947(a)(1).

M—A tax-exempt trust under a section 403(b) plan or section 457(g) plan.

Note: You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, enter "NEW" at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have, and are not eligible to get, an SSN, your TIN is your IRS ITIN. Enter it in the entry space for the Social security number. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note: See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.SSA.gov. You may also get this form by calling 800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/EIN. Go to www.irs.gov/Forms to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to www.irs.gov/OrderForms to place an order and have Form W-7 and/or Form SS-4 mailed to you within 15 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and enter "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, you will generally have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon. See also *Establishing U.S. status for purposes of chapter 3 and chapter 4 withholding*, earlier, for when you may instead be subject to withholding under chapter 3 or 4 of the Code.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third-party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABL accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

| For this type of account: | Give name and SSN of: |
|--|---|
| 1. Individual | The individual |
| 2. Two or more individuals (joint account) other than an account maintained by an FFI | The actual owner of the account or, if combined funds, the first individual on the account ¹ |
| 3. Two or more U.S. persons (joint account maintained by an FFI) | Each holder of the account |
| 4. Custodial account of a minor (Uniform Gift to Minors Act) | The minor ² |
| 5. a. The usual revocable savings trust (grantor is also trustee) | The grantor-trustee ¹ |
| b. So-called trust account that is not a legal or valid trust under state law | The actual owner ¹ |
| 6. Sole proprietorship or disregarded entity owned by an individual | The owner ³ |
| 7. Grantor trust filing under Optional Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))** | The grantor* |

| For this type of account: | Give name and EIN of: |
|---|---------------------------|
| 8. Disregarded entity not owned by an individual | The owner |
| 9. A valid trust, estate, or pension trust | Legal entity ⁴ |
| 10. Corporation or LLC electing corporate status on Form 8832 or Form 2553 | The corporation |
| 11. Association, club, religious, charitable, educational, or other tax-exempt organization | The organization |
| 12. Partnership or multi-member LLC | The partnership |
| 13. A broker or registered nominee | The broker or nominee |
| 14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments | The public entity |
| 15. Grantor trust filing Form 1041 or under the Optional Filing Method 2, requiring Form 1099 (see Regulations section 1.671-4(b)(2)(i)(B))** | The trust |

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name on line 1, and enter your business or DBA name, if any, on line 2. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

* **Note:** The grantor must also provide a Form W-9 to the trustee of the trust.

** For more information on optional filing methods for grantor trusts, see the Instructions for Form 1041.

Note: If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information, such as your name, SSN, or other identifying information, without your permission to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax return preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity, or a questionable credit report, contact the IRS Identity Theft Hotline at 800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 877-777-4778 or TTY/TDD 800-829-4059.

Protect yourself from suspicious emails or phishing schemes.

Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 800-366-4484. You can forward suspicious emails to the Federal Trade Commission at spam@uce.gov or report them at www.ftc.gov/complaint. You can contact the FTC at www.ftc.gov/idtheft or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see www.IdentityTheft.gov and Pub. 5027.

Go to www.irs.gov/IdentityTheft to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and territories for use in administering their laws. The information may also be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payors must generally withhold a percentage of taxable interest, dividends, and certain other payments to a payee who does not give a TIN to the payor. Certain penalties may also apply for providing false or fraudulent information.